

# ADVANCED ENERGY INDUSTRIES INC

## FORM SC 13G/A (Amended Statement of Ownership)

Filed 2/16/1999

Address	1625 SHARP POINT DR FT COLLINS, Colorado 80525
Telephone	970-221-4670
CIK	0000927003
Industry	Electronic Instr. & Controls
Sector	Technology
Fiscal Year	12/31

**UNITED STATES**  
**SECURITIES AND EXCHANGE COMMISSION**  
Washington, D.C. 20549

**SCHEDULE 13G**

Under the Securities Exchange Act of 1934  
(Amendment No. 1 )\*

**Advanced Energy Industries, Inc.**

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(Name of Issuer)

**Common Stock .001 Par Value**

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(Title of Class of Securities)

007973 10 0  
(CUSIP Number)

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(Date of Event Which Requires Filing of this Statement)

Check the appropriate box to designate the rule pursuant to which this  
Schedule is filed:

☐ **Rule 13d-1(b)**

☐ **Rule 13d-1(c)**

☒ **Rule 13d-1(d)**

\*The remainder of this cover page shall be filled out for a reporting person's initial filing on this form with respect to the subject class of securities, and for any subsequent amendment containing information which would alter the disclosures provided in a prior cover page.

The information required in the remainder of this cover page shall not be deemed to be "filed" for the purpose of Section 18 of the Securities Exchange Act of 1934 ("Act") or otherwise subject to the liabilities of that section of the Act but shall be subject to all other provisions of the Act (however, see the Notes).

CUSIP No. -----

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(1) Names of Reporting Persons.  
I.R.S. Identification Nos. of above persons (entities only).

Douglas S. Schatz

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(2) Check the Appropriate Box if a Member (a) / /  
of a Group (See Instructions) (b) / /

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(3) SEC Use Only

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(4) Citizenship or Place of Organization

United States

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Number of Shares (5) Sole Voting Power  
Beneficially 12,339,500  
Owned by -----  
Each Reporting (6) Shared Voting Power  
Person With: 0  
-----  
(7) Sole Dispositive Power  
12,339,500  
-----  
(8) Shared Dispositive Power  
0  
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(9) Aggregate Amount Beneficially Owned by Each Reporting Person  
12,339,500

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(10) Check if the Aggregate Amount in Row (9) Excludes Certain Shares (See  
Instructions) / /

-----  
(11) Percent of Class Represented by Amount in Row (9)  
45.4%

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(12) Type of Reporting Person (See Instructions)  
IN  
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**ITEM 1.**

(a) Name of Issuer Advanced Energy Industries, Inc.

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(b) Address of Issuer's Principal Executive Offices 1625 Sharp Point Drive Fort Collins, Co 80525

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**ITEM 2.**

(a) Name of Person Filing Douglas S. Schatz

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(b) Address of Principal Business Office or, if none, Residence 1625 Sharp Point Drive Fort Collins, Co 80525

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(c) Citizenship Douglas S. Schatz is a citizen of the United States

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(d) Title of Class of Securities Common Stock .001 Par Value

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(e) CUSIP Number 007973 10 0

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**ITEM 3. IF THIS STATEMENT IS FILED PURSUANT TO SECTIONS 240.13d-1(b) OR 240.13d-2(b) OR (c), CHECK WHETHER THE PERSON FILING IS A:**

(a) // Broker or dealer registered under section 15 of the Act (15 U.S.C. 78o).

(b) // Bank as defined in section 3(a)(6) of the Act (15 U.S.C. 78c).

(c) // Insurance company as defined in section 3(a)(19) of the Act (15 U.S.C. 78c).

(d) // Investment company registered under section 8 of the Investment Company Act of 1940 (15 U.S.C. 80a-8).

(e) // An investment adviser in accordance with section 240.13d-1(b)(1)(ii)(E).

(f) // An employee benefit plan or endowment fund in accordance with section 240.13d-1(b)(1)(ii)(F).

(g) // A parent holding company or control person in accordance with section 240.13d-1(b)(1)(ii)(G).

(h) // A savings association as defined in section 3(b) of the Federal Deposit Insurance Act (12 U.S.C. 1813).

(i) // A church plan that is excluded from the definition of an investment company under section 3(c)(14) of the Investment Company Act of 1940 (15 U.S.C. 80a-3).

(j) // Group, in accordance with section 240.13d-1(b)(1)(ii)(J).

**ITEM 4. OWNERSHIP**

Provide the following information regarding the aggregate number and percentage of the class of securities of the issuer identified in Item 1.

(a) Amount beneficially owned:  
12,339,500

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(b) Percent of class:  
45.4%

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(c) Number of shares as to which the person has:

(i) Sole power to vote or to direct the vote 12,339,500

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(ii) Shared power to vote or to direct the vote 0

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(iii) Sole power to dispose or to direct the disposition of 12,339,500

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(iv) Shared power to dispose or to direct the disposition of 0

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**ITEM 5. OWNERSHIP OF FIVE PERCENT OR LESS OF A CLASS**

If this statement is being filed to report the fact that as of the date hereof the reporting person has ceased to be the beneficial owner of more than five percent of the class of securities, check the following. / /

**ITEM 6. OWNERSHIP OF MORE THAN FIVE PERCENT ON BEHALF OF ANOTHER PERSON**

Not Applicable

**ITEM 7. IDENTIFICATION AND CLASSIFICATION OF THE SUBSIDIARY WHICH ACQUIRED THE SECURITY BEING REPORTED ON BY THE PARENT HOLDING COMPANY**

Not Applicable

**ITEM 8. IDENTIFICATION AND CLASSIFICATION OF MEMBERS OF THE GROUP**

Not Applicable

**ITEM 9. NOTICE OF DISSOLUTION OF GROUP**

Not Applicable

## ITEM 10. CERTIFICATION

(a) The following certification shall be included if the statement is filed pursuant to section 240.13d-1(b):

By signing below I certify that, to the best of my knowledge and belief, the securities referred to above were acquired and are held in the ordinary course of business and were not acquired and are not held for the purpose of or with the effect of changing or influencing the control of the issuer of the securities and were not acquired and are not held in connection with or as a participant in any transaction having that purpose or effect.

(b) The following certification shall be included if the statement is filed pursuant to section 240.13d-1(c):

By signing below I certify that, to the best of my knowledge and belief, the securities referred to above were not acquired and are not held for the purpose of or with the effect of changing or influencing the control of the issuer of the securities and were not acquired and are not held in connection with or as a participant in any transaction having that purpose or effect.

### SIGNATURE

After reasonable inquiry and to the best of my knowledge and belief, I certify that the information set forth in this statement is true, complete and correct.

Date

\_\_\_\_\_  
*/s/ Douglas S. Schatz*

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*Signature*

\_\_\_\_\_  
**Name/Title**

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**End of Filing**

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